

In re the Application of: Shiyou GUAN et al.

Art Unit: 1796

Application Number: 10/516,533

Examiner: Unassigned

Filed: December 2, 2004

Confirmation Number: 4453

For:

MICELLE-CONTAINING ORGANIC POLYMER, ORGANIC POLYMER POROUS

MATERIAL AND POROUS CARBON MATERIAL

Attorney Docket Number:

042954

Customer Number:

38834

#### SECOND REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 April 8, 2008

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Corrected Filing Receipt, we noted an error in Power of Attorney. The Power of Attorney should read -- The patent practitioners associated with Customer Number 38834--. The name Louis Brucculeri should be deleted as he is not associated with the firm's customer number. A copy of the Declaration is enclosed which indicates the correct information. We are also enclosing a copy of the corrected filing receipt with the corrections highlighted.

If any fees are required in connection with this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTOM, DANIELS & ADRIAN, LLP

Stephen G. Affian Attorney for Applicants

Registration No. 32,878

Telephone: (202) 822-1100 Facsimile: (202) 822-1111

SGA/gbc

Enclosures: Corrected Filing Receipt; Declaration

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450

 APPLICATION NUMBER
 FILING or 371(c) DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 TOT CLAIMS IND CLAIMS

 10/516,533
 01/26/2006
 1796
 1770
 042954
 21
 6

38834

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLF

1250 CONNECTICUT AVENUE, NW

SUITE 700

WASHINGTON, DC 20036

JIELS & ADRIAN, LLP

NW

DEC - 5 2007

WESTERMAN, HATTORI, DANCES, & AURIAN, LLP

CONFIRMATION NO. 4453
CORRECTED FILING RECEIPT



Date Mailed: 12/04/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Shiyou Guan, Kyoto-shi, JAPAN;

Fumikazu Araki, Kyoto-shi, JAPAN;

**Assignment For Published Patent Application** 

SANYO CHEMICAL INDUSTRIES, LTD., KYOTO, JAPAN

Power of Attorney:

Stephen Adrian 32878 The patent practitioners associated with Customer Number 38834

Delete name

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/06978 06/03/2003

Foreign Applications

JAPAN 2002-161119 06/03/2002

If Required, Foreign Filing License Granted: 02/28/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/516,533** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

Title

Micelle-containing organic polymer, organic polymer porous material and porous carbon material

#### **Preliminary Class**

521

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# DECLARATION FOR U.S. PATENT APPLICATION

. As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.



I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention

MICELLE-CONTAINING ORGANIC POLYMER, ORGANIC POLYMER POROUS MATERIAL AND POROUS CARBON MATERIAL

the specific	cation of which is attached hereto	unless the following is cl	necked		
was file	d onas United States Appl Number <u>PCT/JP03/06978</u> and v	iontian N. I		s PCT International	
I hereby sta	te that I have reviewed and under amended by any amendment refe			, including the	
I acknowled Regulations	lge the duty to disclose information, § 1.56.	on which is material to pa	tentability as defined in Title	37, Code of Federal	
I hereby cla for patent or inventor's co	im foreign priority benefits under r inventor's certificate listed belove ertificate having a filing date befo	Title 35, United States C v and have also identified re that of the application	code, § 119 (a) – (d) of any for I below any foreign application for which priority is claimed.	reign application(s) n for patent or	
(List prior fore	ign			Priority Claimed	
applications. S	2002-161119 (Number)	Japan (Country)	03/June/2002 (Day/Month/Year Filed)	⊠ Yes □ No	
	(Number)	(Country)	(Day/Month/Year Filed)	☐ Yes ☐ No	
	(Number)	(Country)	(Day/Month/Year Filed)	☐ Yes ☐ No	
(See riote B)	(Number)	(Country)	(Day/Month/Year Filed)	☐ Yes ☐ No	
I hereby clain insofar as the in the manner information w	See attached list for additional in the benefit under Title 35, Unit subject matter of each of the claid provided by the first paragraph which is material to patentability a became available between the filipplication.	ed States Code, § 120 of ms of this application is of Title 35, United State	any United States application not disclosed in the prior Units s Code, § 112. I acknowledge	the duty to disalar	
List prior U.S. Applications)			Status		
	(Application Serial No.)	(Filing Date)	Patented Pendir	ng 🗌 Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pendir	ng 🗌 Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pendin	g 🗌 Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pendin	g 🗌 Abandoned	

54103 US

APR 08 2008

I hereby appoint the following atto (s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

## Customer Number: 38834

Please direct all communications to the following address:

Westerman, Hattori, Daniels & Adrian, LLP 1250 Connecticut Avenue, N.W., Suite 700, Washington, D.C. 20036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C)	Full name of sole or Inventor's signature	first inventor (given name, family na	me)	Shiyou GUAN			
	Residence Post Office Address	Shiyou Guan	Date	JAN. 2 4, 2005			
		Kyoto-shi, Japan	Citizenship	Japan			
		c/o Sanyo Chemical Industries,	Ltd.	<del></del>			
		11-1, Ikkyonomotocho, Higashiy	yama-ku, Kyoto-shi, K	voto 605-0995 Japan			
	<b></b>			усто 003-0993 зарап			
	Full name of second i Inventor's signature	nventor (given name, family name)		umikazu ARAKI			
	Residence Post Office Address	Fumikazu ARAK	I	JAN. 2 4. 2005			
		Kyoto-shi, Japan	Citizenship	Japan			
		c/o Sanyo Chemical Industries, I	∟td.				
		11-1, Ikkyonomotocho, Higashiy	ama-ku, Kyoto-shi, K	voto 605-0995 Japan			
	11-1, Ikkyonomotocho, Higashiyama-ku, Kyoto-shi, Kyoto 605-0995 Japan						
	Inventor's signature	entor (given name, family name)					
	Residence		Date				
	Post Office Address		Citizenship				
	- out office Addies?	·					
	-						
	- · ·						
	mirchion 3 signature	entor (given name, family name)	Date				
	Residence			Citizenship			
	Post Office Address						
	_						
	-						
	Full name of fifth inven	tor (given name, family name)					
	Inventor's signature Residence		Date				
			Citizenship				
	Post Office Address		<del></del>				
	_						
	Full name of sixth inven	tor (given name, family name)					
	Inventor's signature Residence		Date				
			Citizenship				
	Post Office Address						
	<del></del>						
	<del></del>	······································					

5710305

### **NOTES**

- Please list all foreign applications that a sheet listing to the invention and check block "yes" or "no".

  If more than 4 prior foreign applications, please check this box and attach a sheet listing the remaining prior foreign applications. В.
- For residence in the U.S., indicate <u>city and state</u>, for residence outside the U.S., indicate <u>city and country</u>. The "Post Office Address" must be an address acceptable by a Post Office for delivery of mail. C.